



2641
PATENT # 3
Docket No.: AMC-005CIB BT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

12-27-02

Group Art Unit: 2641

Examiner: Not Yet Assigned

Serial No.: 10/072,238

Filed: February 5, 2002

In re Application of: James B. Schrempp et al.

For: METHOD AND APPARATUS FOR CACHE PROMOTION

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Stephanie Davis

TRANSMITTAL LETTER

Director of Patents
Washington, D.C. 20231

Sir:

Enclosed please find the following:

1. Information Disclosure Statement;
2. Form PTO-1449
3. Copies of 17 References.

In the event any additional fee is required for filing the above-noted document, including any fees required under 37 CFR 1.136 for any necessary Extension of Time to make the filing of attached document timely, the Assistant Commissioner is hereby authorized to charge the fee to our Deposit Account No.: 50-0612. A duplicate copy of this page is enclosed.

Dated: 9/30/02

Respectfully submitted,
Sierra Patent Group, Ltd.

Timothy A. Brisson
Reg. No. 44,046

Sierra Patent Group, Ltd.
P.O. Box 6149
Stateline, NV 89449
(775) 586-9500



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/Name

INFORMATION DISCLOSURE STATEMENT

Director of Patents
Washington, D.C. 20231

Dear Sir:

Each item of information listed in the attached FORM PTO-1449, for which a copy of each is attached, may be material to the examination of the above-identified application and is, therefore, submitted in compliance with the duty of disclosure defined in 37 CFR §§1.56, 1.97 and 1.98. The Examiner is requested to make these items of official record in this application.

This Information Disclosure Statement under 37 CFR §§1.56, 1.97 and 1.98 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that any one or more of these items constitutes prior art.

I

This statement is filed pursuant to:

(X) 37 C.F.R. §1.97(b).

This information disclosure statement is filed either (1) within three months of the filing date of the national applications; (2) within three months of the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in an international application; or (3) before the mailing date of a first office action on the merits, whichever event occurs last.

Accordingly, this information disclosure statement requires no fee and no certification.

() 37 C.F.R. §1.97(c).

This information disclosure statement is filed after the period specified in 37 C.F.R. §1.97(b), but before the mailing date of either (1) a final action under 37 C.F.R. §1.113 or (2) a notice of allowance under 37 C.F.R. §1.311.

Accordingly, this information disclosure statement requires either the fee specified in 37 C.F.R. §1.17 (p) or a certification according to 37 C.F.R. §1.97(e).

() 37 C.F.R. §1.97(d).

This information disclosure statement is filed after the period specified in 37 C.F.R. §1.97(c).

Accordingly, this information disclosure statement requires the fee specified in 37 C.F.R. §1.17(p), \$180.00, for submission of an information disclosure statement under 37 C.F.R. §1.97(d), and a statement according to 37 C.F.R. §1.97(e).

37 C.F.R. §1.97(e).

() (1) Each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement.

() (2) No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(c), more than three months prior to the filing of the statement.

Accordingly, this information disclosure statement requires the fee specified in 37 C.F.R. §1.17(p), \$180.00, for submission of an information disclosure statement under 37 C.F.R. §1.97(e)

If this statement crosses in the mail with an office action, or is otherwise not in the indicated category of 37 C.F.R. §1.97, it is respectfully requested that this statement be treated in the next appropriate category and made of record. To the extent required, please treat this paper as a conditional petition for acceptance of the information disclosure statement.

II

(X) No fee is due.

() The fee specified in 37 C.F.R. §1.17(p) for submission of an information disclosure statement under 37 C.F.R. §1.97(c), 37 C.F.R. § 1.97(d), or 37 C.F.R. §1.97(e) is enclosed, \$180.00.

In the event any fee is required for filing the above-noted document, including any fees required under 37 CFR 1.136 for any necessary Extension of Time to make the filing attached document timely, the Assistant Commissioner is hereby authorized to charge the fee to our Deposit Account No. 50-0612. A duplicate of this page is enclosed.

Respectfully submitted,
SIERRA PATENT GROUP, LTD.

Dated: 9/30/02



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Form PTO 1449
(Rev. 2-32)U.S. Department of Commerce
Patent and Trademark OfficeAtty. Docket No.
AMC-005CIBSerial No.
10/072,238

Information Disclosure Statement by Applicant

Applicant: James B. Schrempp et al.

(Use several sheets if necessary)

Filed: February 5, 2002 Group: 2641

U.S. Patent Documents

		Document No.	Date	Name	Class	Subclass	Filing Date
	1	3,919,479	11/11/1975	Moon et al.	179	1SB	04/08/1974
	2	4,230,990	10/28/1980	Lert, Jr. et al.	455	67	03/16/1979
	3	4,450,531	05/22/1984	Kenyon et al.	364	604	09/10/1982
	4	4,677,466	06/30/1987	Lert, Jr. et al.	358	84	07/29/1985
	5	5,210,820	05/11/1993	Kenyon	395	2	05/02/1990
	6	5,437,050	07/25/1995	Lamb et al.	455	2	11/09/1992
	7	5,581,658	12/03/1996	O'Hagan et al.	395	22	12/14/1993
	8	6,006,256	12/21/1999	Zdepski et al.	709	217	03/11/1996
	9	6,011,758	01/04/2000	Dockes et al.	369	30	07/01/1998
	10	6,026,439	02/15/2000	Chowdhury et al.	709	223	10/28/1997
	11	6,118,450	09/12/2000	Proehl et al.	345	349	04/03/1998
	12	6,192,340 B1	02/20/2001	Abecassis	704	270	10/19/1999
	13	6,253,193 B1	06/26/2001	Ginter et al.	705	57	12/09/1998
	14	6,253,337 B1	06/26/2001	Maloney et al.	714	38	07/19/1999
	15	2002/0082999 A1	06/27/2002	Lee et al.	705	51	10/15/2001
	16	2002/0087885 A1	07/04/2002	Peled et al.	713	201	07/03/2001

Foreign Documents

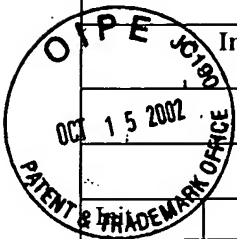
							Translation	
Init.		Document No.	Date	Country	Class	Subclass	Yes	No
	17	WO 02/15035 A2	02/21/2002	PCT (United States)	G06F	17/00		X

Other Documents (Including Author, Title, Date, Pertinent Pages, etc.)

Examiner

Date Considered

Examiner: Initial if citation considered, whether or not citation is in conference with MPEP 609; Draw line through citation if not conformance and not considered. Include a copy of this form with the next communication to applicant.



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